

Module code: MOD011442	Version: 1 Date Amended: 16/Apr/2026
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1. Module Title
Banking and Finance Law

2a. Module Leader
Katerina Sidiropoulou

2b. School
School of Economics, Finance and Law

2c. Faculty
Faculty of Business and Law

3a. Level
7

3b. Module Type
Standard (fine graded)

4a. Credits
15

4b. Study Hours
150

5. Restrictions			
Type	Module Code	Module Name	Condition
Pre-requisites:	None		
Co-requisites:	None		
Exclusions:	None		
Courses to which this module is restricted:			

LEARNING, TEACHING AND ASSESSMENT INFORMATION

6a. Module Description

In this module, you will explore the legal and regulatory frameworks that govern banking and financial services in national and international contexts. You will examine the structure and operation of financial markets and institutions, the legal relationships between banks, clients, and regulators, and the mechanisms that promote financial stability and consumer protection.

You will analyse key areas of banking and finance law, including regulation and supervision, lending and security, financial instruments, and the prevention of financial crime. The module will also consider the impact of global financial crises, technological innovation, and emerging regulatory trends such as sustainable finance and fintech.

By the end of the module, you will be able to critically evaluate the principles, policies, and legal mechanisms that shape banking and finance regulation, and apply this understanding to complex commercial and regulatory scenarios in an international financial environment.

6b. Outline Content

- Frameworks and Institutions: Nature, sources, and development of banking and financial regulation at national and international levels.
- Regulation and Supervision: Roles of central banks, regulators, and international bodies in promoting financial stability and integrity.
- Banker–Client Relationship: Legal duties, contractual obligations, and confidentiality.
- Lending and Security: Core principles of loans, guarantees, and secured transactions.
- Financial Markets and Instruments: Legal aspects of trading, investment, and risk management.
- Compliance and Financial Crime: Regulatory approaches to money laundering, fraud, and misconduct.
- Innovation and Emerging Issues: Fintech, digital assets, and sustainable finance.

6c. Key Texts/Literature

The reading list to support this module is available at: <https://readinglists.aru.ac.uk/>

6d. Specialist Learning Resources

None

7. Learning Outcomes (threshold standards)		
No.	Type	On successful completion of this module the student will be expected to be able to:
1	Knowledge and Understanding	Critically evaluate and synthesise legal frameworks, principles, doctrines, institutions, and processes governing banking and financial services, and assess how they interact and evolve within complex and global, political, and commercial, contexts.
2	Intellectual, practical, affective and transferrable skills	Critically analyse and interrogate legal sources, case law, and scholarly perspectives in banking and finance law, identifying areas of ambiguity, conflict, and potential reform, and developing reasoned interpretations grounded in advanced legal reasoning.
3	Knowledge and Understanding	Apply and evaluate complex legal principles to practical or interdisciplinary scenarios in the field of banking and finance, demonstrating creativity, contextual awareness, and integration of legal, regulatory, and ethical considerations.
4	Intellectual, practical, affective and transferrable skills	Produce sophisticated and critically structured legal arguments tailored to academic or professional audiences in written form, communicating with precision, originality, and authority, and adhering to advanced academic and professional standards.

8a. Module Occurrence to which this MDF Refers				
Year	Occurrence	Period	Location	Mode of Delivery
2026/7	ZZF	Template For Face To Face Learning Delivery		Face to Face

8b. Learning Activities for the above Module Occurrence			
Learning Activities	Hours	Learning Outcomes	Details of Duration, frequency and other comments
Lectures	0	N/A	N/A
Other teacher managed learning	25	1-4	Tutor Led Workshop (TLW): 1 x 1-hour TLW x 11 weeks (Teaching Weeks 1-11) AND Student Led Workshop (SLW): 1 x 1-hour SLW x 11 weeks (Teaching Weeks 1-11) PLUS Screencast: 1 x 20min x 10 weeks (Teaching Weeks 1-10)
Student managed learning	125	1-4	To include such things as watching screencasts, online discussion boards, VLE exercises and activities, private study, workshop preparation, assessment preparation and completion.
TOTAL:	150		

9. Assessment for the above Module Occurrence					
Assessment No.	Assessment Method	Learning Outcomes	Weighting (%)	Fine Grade or Pass/Fail	Qualifying Mark (%)
010	Coursework	1-4	100 (%)	Fine Grade	40 (%)
Case study application of substantive law and critical evaluation of the effectiveness of the legal framework Wordcount: Maximum 3000					

In order to pass this module, students are required to achieve an overall mark of 40% (for modules at levels 3, 4, 5 and 6) or 50% (for modules at level 7*). In addition, students are required to: (a) achieve the qualifying mark for each element of fine graded assessment as specified above (b) pass any pass/fail elements [* the pass mark of 50% applies for all module occurrences from the academic year 2024/25 – see Section 3a of this MDF to check the level of the module and Section 8a of this MDF to check the academic year]
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